



05-02-05

JFW

April 29, 2005

Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: Applicant(s): Vargas, Jaime; et. al.
Assignee: Cardica, Inc.
Title: Method for Cutting Tissue
Serial No.: 10/698,575
Examiner: Not yet assigned
Docket No.: 146
Filed: October 31, 2003
Group Art Unit: 3731

Dear Sir:


Transmitted herewith are the following documents in the above-identified application:

- (1) This Transmittal Letter;
- (2) Information Disclosure Statement;
- (3) Form PTO-1449 and 2 references; and
- (4) Return postcard.

Please charge Deposit Account 502108 for any additional fees required, and credit deposit account 502108 any amounts overpaid.

EXPRESS MAIL SERIAL NO.:
EV430389846US

Respectfully submitted,


Brian A. Schar, Esq.
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jaime Vargas, et. al.
Assignee: Cardica, Inc.
Title: Method for Cutting Tissue
Serial No.: 10/698,575 Filing Date: October 31, 2003
Examiner: Unknown Group Art Unit: 3731
Docket No.: 146

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the information listed on the accompanying form PTO-1449 is brought to the attention of the Examiner.

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b) before receipt of an Office Action on the merits, and thus no petition or fee is required.

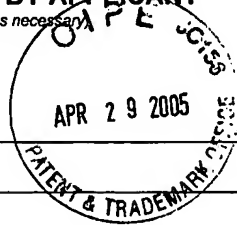
Respectfully submitted,

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
 STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known

Application Number	10/698,575
Filing Date	October 31, 2003
First Named Inventor	Vargas, Jaime
Group Art Unit	Unknown
Examiner Name	Unknown

Sheet 1 of 1

Attorney Docket No: 146

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	US-2002/0082614	06/27/2002	Logan, et al.	
	US-2002/0082614	02/27/2004	Logan, , et al.	
	US-2003/0065342	04/03/2003	Nobis,	
	US-4,018,228	04/19/1977	Goosen,	
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	US-5,221,259	06/22/1993	Weldon, et al.	
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	US-6,254,651	06/07/1966	Collito	
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	US-6,605,098	08/12/2003	Nobis, et al.	
	US-6,695,859	02/24/2004	Golden, et al.	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"Cardica PAS-Port Proximal Anastomosis System 510(k)", Section VI.C., "Substantial Equivalence", and Attachment 7 (Unpublished)	
		Sales training brochure entitled "CorLink Automated Anastomosis Device" (2002)	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached